



U.S. PATENT APPLICATION NO. 10/010,961
DOCKET NO. 20236

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Chien-Min Sung

SERIAL NO.: 10/010,961

FILED: 12/06/2001

CONFIRM. NO.: 5672

FOR: FIXED ABRASIVE CMP PAD
DRESSER AND ASSOCIATED
METHODS

ART UNIT: 3723

EXAMINER:

DOCKET NO.: 20236

CERTIFICATE OF DEPOSIT
UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Assistant Commissioner of Patents, Washington, D.C. 20231.

Vanessa Montague
Signature

3-21-02

Date of Deposit

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
PATENT APPLICATION Filing Date Granted**

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed January 22, 2002, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- An Assignment from the inventor to **KINIK COMPANY**;
- A Verified Statement Claiming Small Entity Status;
- A copy of the Notice to File Missing Parts of Application Filing Date Granted;
- Declaration and Petition;
- Power of Attorney;

- Prior Art Statement, Form PTO-1449, and copies of cited prior art;
- Check in the amount of **\$2,036.00** to cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

			SMALL ENTITY		LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	Fee	RATE	Fee
BASIC FEE			\$370	\$	\$740	\$740
TOT. CLAIMS	53-20 =	33	x 9 =	\$	x 18 =	\$594
IND. CLAIMS	6-03 =	3	x 42 =	\$	x 84 =	\$252
MULTIPLE DEPENDENT CLAIMS PRESENTED	Yes		\$140		\$280	\$280
ASSIGNMENT FILING FEE			\$ 40		\$ 40	\$40
SURCHARGE FEE			\$65		\$130	\$130
			TOTAL	\$	TOTAL	\$2036

Please charge any additional fees or credit any overpayment to Deposit Account No.

20-0100.

DATED this 21st day of Mar, 2002.

Respectfully submitted,



M. Wayne Western
Attorney for Applicant
Registration No. 22,788

THORPE NORTH & WESTERN, L.L.P.
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Page 1 of 1

JAN 28 2002

Thorpe, North & Western

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/010,961	12/06/2001	Chien-Min Sung	20236

20551
THORPE NORTH WESTERN
8180 SOUTH 700 EAST, SUITE 200
P.O. BOX 1219
SANDY, UT 84070

CONFIRMATION NO. 5672

FORMALITIES LETTER



OC000000007338328

Date Mailed: 01/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1234.
 - \$702 for 39 total claims over 20.
 - \$252 for 3 independent claims over 3 .
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2104.**

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01 FC:103 108.00 CH

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740.00
352.00
280.00
130.00
594.00

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03/27/2002 NR00KA1

01 FC:101
02 FC:102
03 FC:103
04 FC:104
05 FC:105

*A copy of this notice **MUST** be returned with the reply.*

C. Vorachade

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE